

**Attorney Docket No. 4000-0001.01**

**PATENT**

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By: [Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Sung-Yun Kwon

Serial No.: 10/767,359

Examiner: Not Assigned

Confirmation No.: Not Assigned

Art Unit: Not Assigned

Filed: January 28, 2004

For: SOLID SOLUTION PERFORATOR FOR DRUG DELIVERY AND OTHER APPLICATIONS

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ [X] In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ [] In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  - ☐ [] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - ☐ [] a statement as specified in 37 C.F.R. §1.97(e).
- ☐ [] In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ [] Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.

☒ [X] A return receipt postcard is also enclosed.

☐ [] Please charge \$\_\_\_\_\_ to Deposit Account No. 18-1648 for the total fee.  
This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 18-1648.

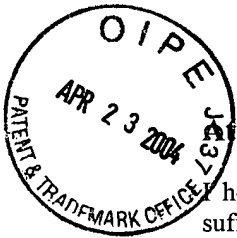
Dated: 4/20/04

Respectfully submitted,

Robins & Pasternak LLP  
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By: \_\_\_\_\_

Roberta L. Robins  
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Attorney Docket No. 4000-0001.01

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Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of document DA cited in the attached Form PTO/SB/08 is enclosed.
- ☒ No copies of publications AA-CG on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/179,749 to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ No copies of publications \_\_\_\_\_ are being provided pursuant Office waiver of 37 C.F.R. §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

- ☒ Publication(s) AG, BN, BT, BU, BV and CG listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to PCT application serial no. PCT/US2003/019820 and mailed on December 10, 2003.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_\_\_ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

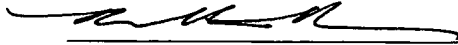
- ☒ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☐ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 4/20/04

Respectfully submitted,

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By:   
Roberta L. Robins  
Reg. No. 33,208



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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				<b>Application Number</b>	10/767,359
				<b>Filing Date</b>	January 28, 2004
				<b>First Named Inventor</b>	Sung-Yun Kwon
				<b>Group Art Unit</b>	Not Assigned
				<b>Examiner Name</b>	Not Assigned
<b>Sheet</b>	1	<b>of</b>	3	<b>Attorney Docket Number</b>	4000-0001.01

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	AA	3,964,482		Gerstel, et al.	06/76
	AB	6,013,050		Bellhouse, et al.	01/2000
	AC	6,142,939		Eppstein, et al.	11/00
	AD	6,230,051		Cormier, et al.	05/01
	AE	6,312,612		Sherman, et al.	11/01
	AF	6,322,808		Trautman, et al.	11/01
	AG	6,334,856		Allen, et al.	01/2002
	AH	6,352,506		Eppstein, et al.	03/02
	AI	6,379,324		Gartstein, et al.	04/02
	AJ	5,455,611		Eppstein, et al.	08/29/95
	AK	6,485,453		Buch-Rasmussen, et al.	11/26/02
	AL	6,406,455		Willis, et al.	06/18/02
	AM	6,375,776		Buoni, et al.	04/23/02
	AN	6,331,266		Powell, et al.	12/18/01
	AO	6,331,310		Roser, et al.	12/18/01
	AP	5,527,288		Gross, et al.	06/18/96
	AQ	5,843,114		Jang	12/01/98
	AR	6,102,896		Roser	08/15/00
	AS	6,086,196		Trautman, et al.	07/04/00
	AT	6,106,751		Talbot et al.	08/22/00
	AU	4,109,655		Chacornac	08/29/78
	AV	4,592,753		Panoz	06/03/86
	AW	4,798,582		Sarath, et al.	01/17/89
	AX	4,936,835		Haaga	06/26/90
	AY	5,250,023		Lee, et al.	10/05/93
	AZ	5,262,128		Leighton, et al.	11/16/93
	BA	5,279,544		Gross, et al.	01/18/94
	BB	5,388,512		Jarvis	01/24/95
	BC	5,457,041		Ginaven, et al.	10/10/95
	BD	5,458,140		Eppstein, et al.	10/17/95
	BE	5,527,288		Gross, et al.	06/18/96
	BF	5,591,139		Lin, et al.	01/07/97
	BG	5,848,991		Gross, et al.	12/15/98
	BH	5,855,801		Lin, et al.	01/05/99
	BI	5,879,326		Godshall, et al.	03/09/99
	BJ	5,855,211		Nelson	01/05/99
	BK	5,928,207		Pisano, et al.	07/27/99
	BL	5,983,136		Kamen	11/09/99
	BM	6,030,404		Lawson, et al.	02/29/00

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

## **Complete if Known**

Sheet	2	of	3	Application Number	10/767,359
				Filing Date	January 28, 2004
				First Named Inventor	Sung-Yun Kwon
				Group Art Unit	Not Assigned
				Examiner Name	Not Assigned
				Attorney Docket Number	4000-0001.01

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>3</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>4</sup> (if known)		
	BN	6,050,988		Zuck	04/18/00
	BO	6,091,975		Daddona, et al.	07/18/00
	BP	6,187,210		Lebouitz, et al.	02/13/01
	BQ	6,219,574		Cormier, et al.	04/17/01
	BR	6,256,533		Yuzhakov, et al.	07/03/01
	BS	6,352,722		Blair	03/05/02
	BT	6,611,707		Prausnitz, et al.	08/26/03
	BU	6,132,755		Eicher, et al.	10/17/02
	BV	6,083,196		Trautman, et al.	07/04/00
	BW	20020146540		Johnston, et al.	10/02/02
	BX	20020016562		Cormier, et al.	02/07/02
	BY	20020020688		Sherman, et al.	02/21/02
	BZ	20020058067		Blair	05/16/02
	CA	20020110585		Godbey	08/15/02
	CB	20010038858		Roder, et al.	11/08/01
	CC	20010053891		Ackely, Donald E.	12/20/01
	CD	20020006355		Whitson, Robert C.	06/11/01
	CE	20020009464		Colaco, Camilo	01/24/02
	CF	20020012687		Roser, et al.	01/31/02
	CG	20020082543		Park, et al.	06/27/02

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>8</sup>
		Office <sup>5</sup>	Number <sup>6</sup>	Kind Code <sup>7</sup> (if known)			
	DA	JP	2003238347				

Examiner Signature	Date Considered
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PTO/SB/08A (08-00)

<sup>3</sup> Unique citation designation number.

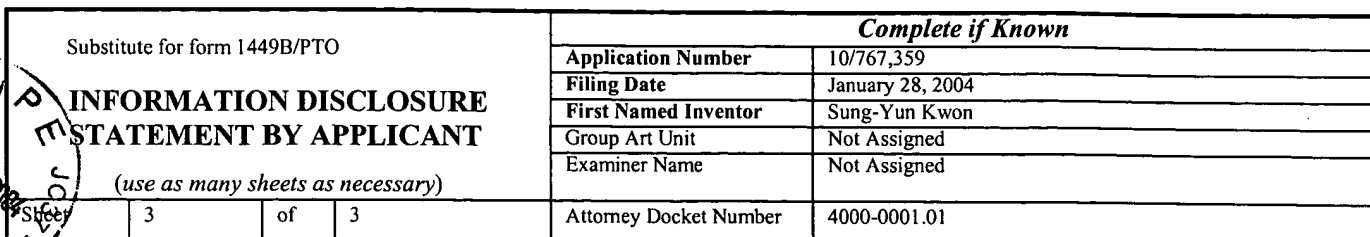
<sup>4</sup> See attached Kinds of U.S. Patent Documents.

<sup>5</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>6</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>7</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

[illegible]

Examiner Signature		Date Considered	
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